

Please make the following revisions to Publication 1346, Part III, File Specification and Record Layouts.

Add Transmission Type Codes "N and T" to the Record Layout.

Field deleted to match ELF - delete Field 0118 from the Record Layout.

Length changed to match ELF - change Field 0119 from 2 to 4 positions.

Section 3 - Validation - Transmission and Forms (General)

This section is organized and consolidated in the following manner: Transmission Rejection Criteria then General Rejection Criteria.

The underlined numbers in the left margin indicates the Error Reject Code (ERC) in Part III, Attachment 1.

.01 TRANSMISSION REJECTION CONDITIONS

The following conditions must exist or the entire transmission will be rejected:

- 0805 - The TRANB record must be present.
- 0806 - The processing site must be a valid processing site:

Valid ETD processing sites are: Andover, Austin, Cincinnati, Memphis, and Ogden campuses.
- 0822 - The Transmission Sequence Number of the TRANA cannot match a previously accepted transmission.
- 0823 - If there is any unrecognizable or inconsistent control data, the transmission will be rejected.
- 0824 - The EFIN of the Transmitter must be present.
- 0825 - The data records of the transmission must be in the following sequence: TRANA, TRANB, Form records and RECAP record.
 - Form record(s) must be present.
 - The Transmission Type Code of TRANA must be "D, N, or T" if not transmission will be rejected.
- 0831 - Program counts will be maintained which correspond to the counts shown in the RECAP record. The Total Form Count (Field 0030) in the RECAP Record must match the IRS computed counts. Records are counted as follows:

Total Form Count - a count of forms submitted. This count is incremented each time the Primary SSN within a Record ID changes.

SECTION 2 - ACKNOWLEDGMENT FORMAT (CONTINUED)

ACKNOWLEDGMENT RECORD LAYOUT

(A) ACK Key Record

Field No. -----	Identification -----	Length -----	Description -----	
0090	DCN of Document	14	Numeric	
0100	Number of Error Records	2	Numeric, 00-96	
0110	Attachment Sequence Number	2	(See Attachment 3)	
0111	Filler	11	blank	
0115	Payment Acknowledgement Literals	15	"PYMNT RQST RECD" or blank	
0117	Date of Birth Validity Code	1	"0" = DOB Validation Not Required "1" = All DOB(s) Valid "2" = Primary DOB Mismatch "3" = Spouse DOB Mismatch "4" = Both DOB(s) Mismatch	
				-
0119	Reserved	4	blank	
0120	Reserve	1	blank	
0130	Reserve	2	blank	
	Record Terminus Character	1	Value "#"	

ATTACHMENT 1

ERROR REJECT CODE (ERC) CROSS REFERENCES

<u>ERC</u>	<u>DESCRIPTION</u>	<u>PAGE</u>
0805	o The TRANB record must be present.	10
0806	o The processing site must be a valid ETD site: ANSPC, AUSPC, CSPC, MSPC or OSPC.	
0822	o The Transmission Sequence Number of the TRANA cannot match a previously accepted transmission.	
0823	o If there is any unrecognizable or inconsistent control data, the transmission will be rejected.	
0824	o The EFIN of the Transmitter must be present.	
0825	o The data records of the transmission must be in the following sequence: TRANA, TRANB, all form records and RECAP record.	
	o The Form Records must be present.	
	o The Transmission Type Code of the TRANA must be "D, N, or T".	
0831	o Total Form Count on the RECAP record is a count of forms transmitted and must match the counts computed by the IRS. This count is incremented each time the Primary SSN changes.	
NOTE: DO NOT INCLUDE FORM PAYMENT IN YOUR COUNT		
0840	o The ETIN and Transmitter's Use Code (Field 0040), Julian day (Field 0050), and Transmission Sequence Number (Field 0060) of the RECAP Record must agree with the corresponding fields of the TRANA record (Fields 0060-0080).	11
0900	o The Primary SSN must not duplicate the Primary SSN of any previously accepted electronic transmitted Form 4868 for the current tax year.	18
0999	o If more than 96 reject conditions are identified, the last Reject Code will be "999".	17

Filers should use the information on the acknowledgment file to resolve reject conditions.